

DEPT:

Ref	Governance Responsibility	No Concerns	Minor Concerns	Some Concerns	Substantial Concerns	Major Concerns	Explanation of concerns Action Plan
1.	<p>Services are planned and managed to implement the priorities of Eastbourne Borough Council.</p> <p>Demonstrated through:</p> <ul style="list-style-type: none"> • Service plan aligned to the Council's priorities • Plans in place to monitor the quality of service to users and seek continuous improvements • Making best use of resources to ensure excellent service and value for money is achieved • Dealing effectively with any failures in service delivery. 						
2.	<p>There are good working relationships with Members and officers responsibilities are clearly defined.</p> <p>Demonstrated through:</p> <ul style="list-style-type: none"> • Statutory Officers have clearly defined scope and status to fulfil their roles • Delegated powers are clearly defined and understood • Member/officer protocol operates effectively in practice • Partnership governance arrangements are clearly defined and appropriate 						

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3.	<p>The values of good governance are demonstrated and high standards of conduct and behaviour.</p> <p>Demonstrated through:</p> <ul style="list-style-type: none"> • Effective communication to all staff of the code of conduct, standing orders, Financial Procedure Rules, Contract Procedure Rules and Anti Fraud and Corruption Policy • Effective performance management of staff and regular appraisals • The Council's values are understood and promoted 						
4.	<p>Management decision making and advice to Members are well founded and involve consideration of professional advice and identified risks.</p> <p>Demonstrated through:</p> <ul style="list-style-type: none"> • Effective arrangements to ensure data quality (complete, accurate, timely and secure) • The internal control framework operates effectively • Professional advice is obtained where appropriate and is recorded • Risk management operates effectively in strategic, 						

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	project and operational areas <ul style="list-style-type: none"> • Decisions made are in accordance with delegated powers and the Council's constitution • Arrangements are in place to obtain assurance on the management of key risks 						
5.	<p>The capacity and capability of officers has been developed to ensure effective performance.</p> <p>Demonstrated through:</p> <ul style="list-style-type: none"> • Training and development of staff • Workforce planning to ensure there are adequate staffing levels • Statutory officers have sufficient resources to fulfil their role 						
6.	<p>Robust public accountability is ensured by engaging with local people and stakeholders</p> <p>Demonstrated through:</p> <ul style="list-style-type: none"> • Arrangements to communicate with relevant sections of the community • Undertaking effective consultation with public and other stakeholders • Consultation with staff and engagement in decision making is undertaken 						

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7.	Adequate processes have been put in place for the safeguarding of children and vulnerable adults.						
8.	Adequate action has been taken to ensure compliance with the requirements of the Bribery Act. Demonstrated through: <ul style="list-style-type: none"> • Proportionate procedures have been put in place to prevent bribery • The risks of bribery have been assessed and added to the departmental risk register • Procedures and risks are regularly monitored and reviewed. 						
		Yes	No	Considered but not used			
9.	Have you had reason for using/considering using surveillance which would fall under RIPA?						
		Yes	No	If yes, please give details			
10.	Are you aware of any frauds over £10k that have not already been informed to the Internal Audit section.						